SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Addres	1 0		2. Issuer Name and Ticker or Trading Symbol <u>Zumiez Inc</u> [ZUMZ]		tionship of Reporting Pers all applicable) Director	10% Owner	
(Last) 4001 204TH ST	(First) REET SW	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/18/2019	X	Officer (give title below) Chief Financial	Other (specify below) Officer	
(Street) LYNNWOOD WA 98036		98036	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	Form filed by More than One Reporting		
(City)	(State)	(Zip) Table I - Non-Deriv	// vative Securities Acquired, Disposed of, or Benefi	cially (Person Owned		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	if any 🤺	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	03/18/2019		A		6,112 ⁽¹⁾	Α	\$24.54	40,518 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Options (right to buy)	\$24.54	03/18/2019		A		12,531 ⁽³⁾		03/18/2020	03/18/2029	Common Stock	12,531	\$0	12,531	D	

Explanation of Responses:

1. The shares acquired represent a grant of restricted stock that will vest over 3 years.

2. The share total includes 9,855 shares acquired through the Zumies Inc. Employee Stock Purchase Plan.

3. Options subject to this grant vest over a 4-year period in equal installments.

Chris K. Visser, Attorney-in-

fact

03/21/2019

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.