SEC Form 4

FORM 4

Section 16. Form 4 or Form 5 obligations may continue. See

Instruction 1(b).

Check this box if no longer subject to

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Addre Visser Chris	1 0	erson*	2. Issuer Name and Ticker or Trading Symbol Zumiez Inc ZUMZ		tionship of Reporting Per all applicable) Director	10% Owner	
(Last) 4001 204TH ST	(First) REET SW	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/18/2019	X	Officer (give title below) Chief Legal Offi	Other (specify below) cer & Sec.	
Street) LYNNWOOD WA 98036		98036	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting		
(City)	(State)	(Zip)	erivative Securities Acquired, Disposed of, or Benef	icially (Person Owned		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)		Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	03/18/2019		A		5,093 ⁽¹⁾	Α	\$24.54	22,688 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.) 8)		5. Number of Derivative Securities Acquired (A) or Disposed		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned	10. Ownership Form: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			Code	v	of (D) (Ins 4 and 5) (A)	tr. 3, (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Reporte Transac	Following Reported Transaction(s) (Instr. 4)	(I) (Instr. 4)	
Options (right to buy)	\$24.54	03/18/2019		Α		10,442 ⁽³⁾		03/18/2020	03/18/2029	Common Stock	10,442	\$0	10,442	D	

Explanation of Responses:

1. The shares acquired represent a grant of restricted stock that will vest over 3 years.

2. The share total includes 6,183 shares acquired through the Zumies Inc. Employee Stock Purchase Plan.

3. Options subject to this grant vest over a 4-year period in equal installments.

Chris K. Visser

** Signature of Reporting Person

03/21/2019

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.