SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			or Section 30(n) of the investment Company Act of 1940	
1. Name and Address		erson*	2. Issuer Name and Ticker or Trading Symbol Zumiez Inc ZUMZ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
Brown Troy R	<u></u>			Director 10% Owner
(Lact)) (First) (Middle) 12/1	3. Date of Earliest Transaction (Month/Day/Year)	X Officer (give title Other (specify below) below)	
(Last) 4001 204TH STR	· /	(middle)	12/15/2020	President North America
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)
LYNNWOOD	WA	98036		X Form filed by One Reporting Person
(City)	(State)	(Zip)	—	Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	12/15/2020		М		4,970	A	\$25.31	85,287 ⁽¹⁾	D	
Common Stock	12/15/2020		М		6,152	A	\$34.57	91,439 ⁽¹⁾	D	
Common Stock	12/15/2020		М		9,662	A	\$24.81	101,101(1)	D	
Common Stock	12/15/2020		S		4,970	D	\$37.97(2)	96,131 ⁽¹⁾	D	
Common Stock	12/15/2020		S		6,152	D	\$37.86 ⁽²⁾	89,979 ⁽¹⁾	D	
Common Stock	12/15/2020		S		9,662	D	\$37.96 ⁽²⁾	80,317 ⁽¹⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puts, cails, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) Disj	oosed D) tr. 3, 4	Expiration Date (Month/Day/Year) S		Amount of		8. Price of Derivative Security (Instr. 5)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$25.31	12/15/2020		М			4,970	(3)	03/14/2021	Common Stock	4,970	\$0.00	0.00	D	
Stock Option (Right to Buy)	\$34.57	12/15/2020		М			6,152	(3)	03/12/2022	Common Stock	6,152	\$0.00	0.00	D	
Stock Option (Right to Buy)	\$24.81	12/15/2020		М			9,662	(3)	03/18/2023	Common Stock	9,662	\$0.00	0.00	D	

Explanation of Responses:

1. The share total includes 2,028 shares acquired through the Zumiez Inc. Employee Stock Purchase Plan.

2. Shares sold at a weighted average price.

3. Options subject to this grant vest over a 4-year period in equal annual installments.

Remarks:



** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.